# Divisor-sum fibers 

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Oberlin College

September 4, 2017

## The $s(n)$ function

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## Definition

Let $s(n)$ denote the sum of proper divisors of $n$.

Example: $s(p)=1$ for any prime $p$

Example: $s(12)=1+2+3+4+6=16$

We can write $s(n)=\sigma(n)-n$, where $\sigma(n)$ is the sum-of-divisors function.

## Perfect numbers

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Pythagoras observed:

$$
s(\mathbf{6})=1+2+3=\mathbf{6}
$$

## Definition

$n$ is perfect if $s(n)=n$.

## Amicable pairs

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## Definition

If $s(\mathbf{n})=\mathbf{m}, s(\mathrm{~m})=\mathbf{n}$, and $\mathrm{m} \neq \mathbf{n}$, then n and m form an amicable pair.

Example (Pythagoras):

$$
s(220)=284, \quad s(284)=220
$$

## Iterates of $s$

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We can view $s$ as a dynamical system, looking at its iterates:
$6 \rightarrow 6$
$10 \rightarrow 8 \rightarrow 7 \rightarrow 1$
$12 \rightarrow 16 \rightarrow 15 \rightarrow 9 \rightarrow 4 \rightarrow 3 \rightarrow 1$
$28 \rightarrow 28$
$220 \rightarrow 284 \rightarrow 220$
$276 \rightarrow 396 \rightarrow \cdots$

Pythagoras studied 1-cycles (perfect numbers) and 2-cycles (amicable pairs).

## Results on the iterates of $s$

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## Theorem (Hornfeck \& Wirsing, 1957)

The number of $n \leq x$ with $s(n)=n$ is at most $x^{\epsilon}$.

## Theorem (Pomerance, 2014)

The number of $n \leq x$ with $n$ in a 2-cycle is at most $x / \exp \left((\log x)^{1 / 2}\right)$ for $x$ large.

## Motivating questions

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"Studying the comparison of $s(n)$ to $n$ led to theorems of Schoenberg, Davenport, and Erdős-Wintner, and the birth of probabilistic number theory." -Carl Pomerance

In this talk, we will focus on two particular questions concerning the function $s(n)$ :
(1) Which numbers are of the form $s(n)$ ?
(2) How large is the set $s^{-1}(n)$ ?

# The image of $s$ 

Erdős was the first to consider questions about the image of $s$.

## Odd integers in the image of $s$

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It is easy to see that almost all odd numbers are contained in the image of $s$ :

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It is easy to see that almost all odd numbers are contained in the image of $s$ :

If $p, q$ are primes with $p \neq q$, then $s(p q)=p+q+1$.

## Odd integers in the image of $s$

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It is easy to see that almost all odd numbers are contained in the image of $s$ :

If $p, q$ are primes with $p \neq q$, then $s(p q)=p+q+1$.

Strong Goldbach's Conjecture: All even integers $\geq 8$ are the sum of two unequal primes.

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This has actually been proven for all but an exceptional set with asymptotic density 0 !

## Odd integers in the image of $s$

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It is easy to see that almost all odd numbers are contained in the image of $s$ :

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Strong Goldbach's Conjecture: All even integers $\geq 8$ are the sum of two unequal primes.

This has actually been proven for all but an exceptional set with asymptotic density 0 !

So almost all odd numbers $\geq 9$ are values of $s$.

## What about even numbers?

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## Theorem (Erdős, 1973)

A positive proportion of even integers are missing from the image of $s$.

## Theorem (Luca \& Pomerance, 2014)

A positive proportion of even integers are in the image of $s$.

## The image of $s$

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The function $s$ can map sets of asymptotic density 0 to sets with positive asymptotic density.

Example If $\mathcal{A}=\{p q: p, q$ prime $\}$ then $\mathcal{A}$ has asymptotic density 0 but $s(\mathcal{A})$ has asymptotic density $1 / 2$.

Example Erdős constructed sets $\mathcal{A}$ of positive density such that $s^{-1}(\mathcal{A})$ not only has density 0 but is, in fact, empty.

# The preimage of $s$ 

What can be said about $s^{-1}(\mathcal{A})$ when $\mathcal{A}$ has asymptotic density 0 ?

## The EGPS Conjecture

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## Conjecture (Erdős, Granville, Pomerance, Spiro, 1990)

Let $\mathcal{A}$ be a set with asymptotic density 0 . Then $s^{-1}(\mathcal{A})$ also has asymptotic density 0 .

## Consequences of EGPS

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Some consequences of EGPS (if true):
(1) For each fixed positive integer $k$, but for a set of $n$ with density 0 , if $s(n)<n$ then $s_{k}(n)<s_{k-1}(n)<\cdots<n$ where $s_{j}$ is the $j$-fold iterate of $s$.
(2) For each integer $k \geq 2$, there is a set $\mathcal{A}_{k}$ of asymptotic density 1 such that

$$
\frac{1}{x} \sum_{\substack{n \leq x \\ n \in \mathcal{A}_{k}}} \log \left(s_{k}(n) / s_{k-1}(n)\right) \rightarrow \beta
$$

as $x \rightarrow \infty$, where $\beta$ comes from a theorem of Bosma and Kane: $\frac{1}{x} \sum_{n \leq x} \log (s(2 n) / 2 n) \sim \beta$ as $x \rightarrow \infty$.

## Special cases of EGPS

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Some special cases of EGPS have been proven:

- (Pollack, 2014) If $\mathcal{A}$ is the set of primes, then

$$
\# s^{-1}(\mathcal{A})=O\left(\frac{x}{\log x}\right)
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- (Troupe, 2015) If $\mathcal{A}_{\epsilon}=\{m:|\omega(m)-\log \log m|>\epsilon \log \log m\}$ then $s^{-1}\left(\mathcal{A}_{\epsilon}\right)$ has density 0 .


## Special cases of EGPS

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- (Pollack, 2014) If $\mathcal{A}$ is the set of primes, then

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- (Troupe, 2015) If $\mathcal{A}_{\epsilon}=\{m:|\omega(m)-\log \log m|>\epsilon \log \log m\}$ then $s^{-1}\left(\mathcal{A}_{\epsilon}\right)$ has density 0 .
- (Pollack, 2015) If $\mathcal{A}$ is the set of palindromes in any given base, then $s^{-1}(\mathcal{A})$ has density 0 .


## Partial Progress on EGPS

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## Theorem (Pollack, Pomerance, T., 2017)

Let $\epsilon \rightarrow 0$ as $x \rightarrow \infty$. Suppose $\mathcal{A}$ is a set of at most $x^{1 / 2+\epsilon}$ positive integers. Then, as $x \rightarrow \infty$,

$$
\#\{n \leq x: s(n) \in \mathcal{A}\}=o_{\epsilon}(x)
$$

uniformly in $\mathcal{A}$.

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Immediate consequences of our result:

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Immediate consequences of our result:

- If $\mathcal{A}$ is the set of palindromes in any given base, then $s^{-1}(\mathcal{A})$ has density 0.


## Consequences

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Immediate consequences of our result:

- If $\mathcal{A}$ is the set of palindromes in any given base, then $s^{-1}(\mathcal{A})$ has density 0.
- If $\mathcal{A}$ is the set of squares, then $s^{-1}(\mathcal{A})$ has density 0 .


## Disproving a stronger EGPS conjecture

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EGPS point out that their conjecture would be a consequence of the following assertion about the sizes of elements in a fiber.

## Hypothesis (Erdős, Granville, Pomerance, Spiro, 1990)

For each positive number $\theta$ there exists a constant $C_{\theta}$ such that for all positive integers $m$ there exist at most $C_{\theta}$ numbers $n \leq \theta m$ with $s(n)=m$.

## Proof Sketch

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We disprove the stronger EGPS conjecture, showing that there are integers $m$ with arbitrarily many preimages of the form $2 p q$.

## Proof Sketch

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We disprove the stronger EGPS conjecture, showing that there are integers $m$ with arbitrarily many preimages of the form $2 p q$.

Observe that $s(2 p q)=(p+3)(q+3)-6$.

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We disprove the stronger EGPS conjecture, showing that there are integers $m$ with arbitrarily many preimages of the form $2 p q$.

Observe that $s(2 p q)=(p+3)(q+3)-6$.
By a construction of Erdős/Prachar, there are numbers with arbitrarily many representations of this form.

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Note: $m=s(2 p q) \geq p q$, so that each preimage $2 p q \leq 2 m$.

## Proof Sketch

We disprove the stronger EGPS conjecture, showing that there are integers $m$ with arbitrarily many preimages of the form $2 p q$.

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By a construction of Erdős/Prachar, there are numbers with arbitrarily many representations of this form.

Note: $m=s(2 p q) \geq p q$, so that each preimage $2 p q \leq 2 m$.

Thus, the Strong EGPS conjecture fails for $\theta=2$.

## Disproving a stronger EGPS conjecture

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Using an elaboration on these methods, we show:

## Theorem (Pollack, Pomerance, T., 2017)

There is a constant $c>0$ for which the following holds. Let $\alpha$ and $\epsilon$ be positive real numbers. There are infinitely many $m$ with at least $\exp (c \log m / \log \log m) s$-preimages that lie in the interval $(\alpha(1-\epsilon) m, \alpha(1+\epsilon) m)$.

## Key Lemma

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We use the following generalization of Erdős-Prachar:
Lemma (Pollack, Pomerance, T., 2017)
There is a positive absolute constant $c$ such that, for all $a, b \in \mathbb{Z}$ with $a \neq 0$ and $b>0$, there are infinitely many integers $k$ with more than $\exp (c \log k / \log \log k)$ representations as $(b p+a)(b q+a)$ with $p, q$ primes.

## Proof Sketch

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Let $0<\epsilon<1$. It is well-known that the values of $s(n) / n$ are dense in $(0, \infty)$.

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Let $0<\epsilon<1$. It is well-known that the values of $s(n) / n$ are dense in $(0, \infty)$.

Thus, we can fix $n_{0}>1$ with

$$
s\left(n_{0}\right) / n_{0} \in\left(\alpha^{-1}\left(1-\frac{1}{2} \epsilon\right), \alpha^{-1}\left(1+\frac{1}{2} \epsilon\right)\right) .
$$

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$$

Write $n=n_{0} p q$, where $p, q$ are distinct primes not dividing $n_{0}$.

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s\left(n_{0}\right) / n_{0} \in\left(\alpha^{-1}\left(1-\frac{1}{2} \epsilon\right), \alpha^{-1}\left(1+\frac{1}{2} \epsilon\right)\right) .
$$

Write $n=n_{0} p q$, where $p, q$ are distinct primes not dividing $n_{0}$. Then

$$
\begin{aligned}
s\left(n_{0} p q\right) & =\sigma\left(n_{0}\right)(p+1)(q+1)-n_{0} p q \\
& =s\left(n_{0}\right) p q+\sigma\left(n_{0}\right)(p+q+1)
\end{aligned}
$$

so that

$$
\begin{aligned}
s\left(n_{0}\right) s\left(n_{0} p q\right) & =\left(s\left(n_{0}\right) p+\sigma\left(n_{0}\right)\right)\left(s\left(n_{0}\right) q+\sigma\left(n_{0}\right)\right) \\
& +s\left(n_{0}\right) \sigma\left(n_{0}\right)-\sigma\left(n_{0}\right)^{2} .
\end{aligned}
$$

## Proof Sketch

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By Key Lemma, there are infinitely many $k \in \mathbb{Z}$ with more than $\exp (c \log k / \log \log k)$ representations of the form

$$
k=\left(s\left(n_{0}\right) p+\sigma\left(n_{0}\right)\right)\left(s\left(n_{0}\right) q+\sigma\left(n_{0}\right)\right)
$$

with $p, q$ distinct.

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with $p, q$ distinct.
Define

$$
m=\frac{k+s\left(n_{0}\right) \sigma\left(n_{0}\right)-\sigma\left(n_{0}\right)^{2}}{s\left(n_{0}\right)}
$$

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$$

Then $m<k$ and $m$ has at least $\exp (c \log m / \log \log m)$ representations in the form $s\left(n_{0} p q\right)$.

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$$

Then $m<k$ and $m$ has at least $\exp (c \log m / \log \log m)$ representations in the form $s\left(n_{0} p q\right)$.

Simple algebra shows $\left.(1-\epsilon) \alpha m<n_{0} p q<(1+\epsilon) \alpha m\right)$.

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By Key Lemma, there are infinitely many $k \in \mathbb{Z}$ with more than $\exp (c \log k / \log \log k)$ representations of the form

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k=\left(s\left(n_{0}\right) p+\sigma\left(n_{0}\right)\right)\left(s\left(n_{0}\right) q+\sigma\left(n_{0}\right)\right)
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with $p, q$ distinct.
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$$

Then $m<k$ and $m$ has at least $\exp (c \log m / \log \log m)$ representations in the form $s\left(n_{0} p q\right)$.

Simple algebra shows $\left.(1-\epsilon) \alpha m<n_{0} p q<(1+\epsilon) \alpha m\right)$.
Thus, $m$ has at least $\exp (c \log m / \log \log m)$ preimages $n=n_{0} p q$ in $((1-\epsilon) \alpha m,(1+\epsilon) \alpha m)$.

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## Thank you!

