

Twin primes and their kin

Lola Thompson

A pattern in the primes?

Distribution of primes

Twin primes

Sieves in number theory

Prime k-tuples

A polynomial analogue

## Twin primes and their kin

Lola Thompson

Oberlin College

March 31, 2017



# The "building blocks" of integers



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A polynomial analogue



Figure: "D.N.A., the building blocks of life." -Jurassic Park

#### Definition

A positive integer is *prime* if it is only divisible by 1 and itself.

Why do we care about primes? Primes are the "building blocks" of integers: every positive integer (except 1) can be written uniquely as a product of primes.



# A pattern in the primes?

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1	2	3	4	5	6	7	8	9	10
11	12	13	14	15	16	17	18	19	20
21	22	23	24	25	26	27	28	29	30
31	32	33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48	49	50
51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70
71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90
91	92	93	94	95	96	97	98	99	100

Table: primes  $p \leq 100$ 



## A pattern in the primes?

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A polynomial analogue One often hears that the primes are **randomly distributed**, or seem to have no pattern.





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A polynomial analogue In 1650, Fermat famously conjectured that all numbers of the form  $2^{2^n} + 1$  (where n = 0, 1, 2, 3, 4,...) are prime.



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A polynomial analogue In 1650, Fermat famously conjectured that all numbers of the form  $2^{2^n} + 1$  (where n = 0, 1, 2, 3, 4,...) are prime.

In 1732, Euler showed that Fermat's conjecture fails when n = 5.



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A polynomial analogue In 1650, Fermat famously conjectured that all numbers of the form  $2^{2^n} + 1$  (where n = 0, 1, 2, 3, 4,...) are prime.

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As of 2010, it is known that  $2^{2^n} + 1$  is composite for  $5 \le n \le 32$ .



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A polynomial analogue In 1650, Fermat famously conjectured that all numbers of the form  $2^{2^n} + 1$  (where n = 0, 1, 2, 3, 4,...) are prime.

In 1732, Euler showed that Fermat's conjecture fails when n=5.

As of 2010, it is known that  $2^{2^n} + 1$  is composite for  $5 \le n \le 32$ .

Some mathematicians have even conjectured that  $2^{2^n}+1$  is composite for all  $n\geq 5!$ 



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**From Wikipedia (now deleted)**: Sollog is "an American numerologist, mystic, and self-proclaimed psychic. He is also a self-published author and a self-described artist, musician, poet, and filmmaker."



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#### (This is his mugshot from a Florida police station.)



# Why it is important to ask the right questions...

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A polynomial analogue One of Sollog's mathematical "discoveries":

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18



# Why it is important to ask the right questions...

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A polynomial analogue Another mathematical "discovery" of Sollog:

1	2	3	4	5	6
7	8	9	10	11	12
13	14	15	16	17	18
19	20	21	22	23	24
25	26	27	28	29	30
31	32	33	34	35	36
37	38	39	40	41	42
43	44	45	46	47	48
49	50	51	52	53	54



# Sollog does not take criticism well...

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A polynomial analogue My co-author, Paul Pollack, tried to help Sollog. Here was Sollog's response:

Hey MORON, This chart is a visual aid to show WHERE ALL PRIMES... MUST FALL, which means they follow a [expletive deleted] PREDICTABLE PATTERN

Since youre a MORON, you cant comprehend what I posted...

If you think that is no big deal, reread your math book, it will state either Primes are random or there is no known pattern.



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# What is wrong with Sollog's "pattern"?

1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18

Table: Pattern: (almost) all primes are odd!

theory

Prime k-tuples A polynomial

analogue



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# What is wrong with Sollog's "pattern"?

1	2
3	4
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15	16
17	18

Table: Pattern: (almost) all primes are odd!

#### (This is not a deep fact...)

analogue



# What is wrong with Sollog's "pattern"?

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Table: Pattern: Only two of the six columns contain primes



# What is wrong with Sollog's "pattern"?

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43	44	45	46	47	48
49	50	51	52	53	54

Table: Pattern: Only two of the six columns contain primes

Notice: 6n + 2 = 2(3n + 1), 6n + 3 = 3(2n + 1), 6n + 4 = 2(3n + 2)and 6n + 6 = 6(n + 1).



Twin primes

# Sollog's "theorem"

and	their kin	
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#### Theorem (Sollog's theorem restated)

If  $n \ge 5$  is prime, then n is not a multiple of 2 or 3.



# Sollog's "theorem"

Twi	n	pri	m	es
and	tl	nei	r I	kin

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#### Theorem (Sollog's theorem restated)

If  $n \ge 5$  is prime, then n is not a multiple of 2 or 3.

**Conclusion:** It's important to be a bit skeptical when looking for patterns in the primes.



#### Distribution of primes

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# Distribution of primes



#### How many primes are there?

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#### Theorem

There are infinitely many primes.

Proof Sketch: It suffices to show that

$$\frac{1}{2} + \frac{1}{3} + \frac{1}{5} + \frac{1}{7} + \dots = \infty.$$

The proof uses the Taylor series expansion for  $\ln(x)$  as well as the sum of a geometric series!



# What proportion of numbers are prime?

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1	2	3	4	5	6	$\overline{7}$	8	9	10
11	12	13	14	15	16	17	18	19	20
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31	32	33	34	35	36	37	38	39	40
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51	52	53	54	55	56	57	58	59	60
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Table: primes  $p \leq 100$ 



# What proportion of numbers are prime?

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A pattern in the primes?	Prime (illustrat	e number theorem ted by selected values <i>n</i> from	10² to 10 <sup>™</sup> )	
Distribution of primes	0	$\pi(n) = $ number of primes less	$\frac{\pi(n)}{n} = \text{among the first } n$	$\frac{1}{\log n} = \frac{1}{\log n + \log n}$
Twin primes	102	25	0.2500	0.2172
Sieves in	104	1,229	0.1229	0.1086
theory	106	78,498	0.0785	0.0724
Drimo	10 <sup>8</sup>	5,761,455	0.0570	0.0543
k-tuples	1010	455,052,511	0.0455	0.0434
A notworkial	1012	37,607,912,018	0.0377	0.0362
A polynomial analogue	1014	3,204,941,750,802	0.0320	0.0310



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### Distribution of primes



#### Theorem (Hadamard & de la Valée Poussin, 1896)

Let  $\pi(X) = \#$  of primes in [1, X]. Then, we have

$$\lim_{X \to \infty} \frac{\pi(X)}{X/\log X} = 1.$$

number

theory Prime

k-tuples

A polynomial analogue



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# Twin primes



## A legitimate pattern?

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Sieves in number theory

Prime k-tuples

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1	2	3	4	5	6	$\overline{7}$	8	9	10
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81	82	83	84	85	86	87	88	89	90
91	92	93	94	95	96	97	98	99	100

Table: twin primes  $p \le 100$ 



#### Twin primes

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A polynomial analogue

#### Definition

A pair of primes (p, p+2) is called a *twin prime pair*.

#### **Examples:**

(3,5)(5,7) (11,13) (3756801695685 · 2<sup>666,669</sup> - 1, 3756801695685 · 2<sup>666,669</sup> + 1)

This begs the question: How many twin prime pairs are there?



## Gaps between primes: a brief history

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#### Conjecture (de Polignac, 1849)

For even integers h, there are infinitely many pairs of (consecutive) primes p, p + h.

If h = 2 then this is the twin primes conjecture. We can study other values of h as well!



#### A close call

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In 2003, Dan Goldston and Cem Yıldırım announced a proof that there are infinitely many pairs of primes that differ by at most 12.



#### A close call

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In 2003, Dan Goldston and Cem Yıldırım announced a proof that there are infinitely many pairs of primes that differ by at most 12. Unfortunately, their work was quickly discredited by Granville and Soundararajan, who found a fatal flaw.



# A conditional proof of the bounded gaps theorem



#### Theorem (Goldston, Pintz and Yıldırım, 2005)

If [Big Unsolved Conjecture] is true, then there are infinitely many pairs of primes that differ by at most 16.

analogue



# Bounded gaps between primes (at last!)

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#### Theorem (Zhang, May 2013)

There are infinitely many pairs of primes that are at most 70,000,000 apart.



## An unlikely superhero

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- Zhang was unable to secure an academic position after earning his Ph.D.
- Instead, he spent 5 years doing odd jobs ("sandwich artist" at Subway, motel employee in Kentucky, delivery worker in a New York City restaurant) before taking an adjunct position at the University of New Hampshire.
- Zhang had only written two other papers during his mathematical career.
- Zhang was in his late 50's when he made his groundbreaking discovery.



### Key idea: sieve methods

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In number theory, a technique called "sieving" is used to filter numbers that possess certain properties out of a larger list of numbers.



Twin primes

## The sieve of Eratosthenes

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## Another sieve: inclusion-exclusion





## An inclusion-exclusion example

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$A = \{ n \in \mathbb{Z} : 2 \mid n \}, B = \{ n \in \mathbb{Z} : 3 \mid n \}, C = \{ n \in \mathbb{Z} : 5 \mid n \}.$
$#A = \lfloor 100/2 \rfloor = 50.$
$\#B = \lfloor 100/3 \rfloor = 33.$
$\#C = \lfloor 100/5 \rfloor = 20.$
$\#(A \cap B) = \lfloor 100/6 \rfloor = 16.$
$\#(B \cap C) = \lfloor 100/15 \rfloor = 6.$
$\#(C \cap A) = \lfloor 100/10 \rfloor = 10.$
$\#(A \cap B \cap C) = \lfloor 100/30 \rfloor = 3.$
<b>A:</b> $100 - (50 + 33 + 20 - 16 - 6 - 10 + 3) = 26.$
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**Q:** How many integers in [1, 100] are not divisible by 2, 3 or 5?

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# An application of inclusion-exclusion

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A polynomial analogue The number of twin prime pairs (p,p+2) with  $p \leq X$  is bounded above by  $100 X/(\log X)^2$ 

for large X.

Theorem



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A polynomial analogue The number of twin prime pairs (p,p+2) with  $p \leq X$  is bounded above by  $100 X/(\log X)^2$ 

for large X.

Theorem

Consequence:

$$B := \left(\frac{1}{3} + \frac{1}{5}\right) + \left(\frac{1}{5} + \frac{1}{7}\right) + \left(\frac{1}{11} + \frac{1}{13}\right) + \dots < \infty.$$



# An application of inclusion-exclusion

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Theorem

Consequence:

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Contrast this with our proof that there are infinitely many primes:

$$\frac{1}{2} + \frac{1}{3} + \frac{1}{5} + \frac{1}{7} + \dots = \infty$$



## The GPY sieve

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A polynomial analogue Goldston, Pintz and Yildirim developed a new sieve that is now referred to as the "GPY sieve." It detects lists of numbers that are plausible candidates for having prime pairs in them.

It gets rid of most numbers, keeping only those that are:

Likely to be prime



## The GPY sieve

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A polynomial analogue Goldston, Pintz and Yildirim developed a new sieve that is now referred to as the "GPY sieve." It detects lists of numbers that are plausible candidates for having prime pairs in them.

It gets rid of most numbers, keeping only those that are:

Likely to be prime

Oloser together than average.



# The only hideous technical slide (I promise!)

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A polynomial analogue Here is how the GPY sieve actually works:

$$S(N) := \sum_{\substack{N \le n < 2N \\ n \equiv \nu \pmod{W}}} \left( \sum_{i=1}^k \chi_{\mathbb{P}}(n+h_i) \right) w(n),$$

where  $\chi_{\mathbb{P}}(n)$  is the characteristic function of the primes and the w(n)'s are nonnegative weights.



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# Prime k-tuples



# "Clock" arithmetic



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A polynomial analogue  $\begin{array}{ccc} & 11 & 0 & 1 \\ 10 & & 2 \\ 9 & & 3 \\ 8 & & 4 \\ & 7 & 6 \end{array}$ 

#### Definition

We say that  $a \equiv b \pmod{m}$  if a and b have the same remainder when divided by m.

 $13 \equiv 1 \pmod{12}$ ,  $14 \equiv 2 \pmod{12}$ ,  $15 \equiv 3 \pmod{12}$ , etc.

Example: Telling time on an analog clock:

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### Admissible sets

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A polynomial analogue We say that a k-tuple  $(h_1, ..., h_k)$  of nonnegative integers is *admissible* if it doesn't cover all of the possible remainders (mod p) for any prime p.

**Example:** (0, 2, 6, 8, 12) is an admissible 5-tuple.

Remainders not covered:

 $1 \pmod{2}$ 

Definition

- $1 \pmod{3}$
- $4 \pmod{5}$
- $3 \pmod{7}$
- $3 \pmod{11}$



## Prime *k*-tuples

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A polynomial analogue

#### Conjecture (Prime *k*-tuples)

Let  $\mathcal{H} = (h_1, ..., h_k)$  be admissible. Then there are infinitely many integers n such that all of  $n + h_1, ..., n + h_k$  are prime.

Q: Why do we need to specify that  $\mathcal H$  is an admissible set?



## Prime *k*-tuples

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#### Conjecture (Prime *k*-tuples)

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Q: Why do we need to specify that  $\mathcal H$  is an admissible set?

**A:** If  $\mathcal{H}$  "covers" all residues modulo p then one of  $n + h_1, ..., n + h_k$  **must** be divisible by p!



## Maynard and Tao's independent work

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#### Theorem (Maynard-Tao, November 2013)

Let  $m \ge 2$ . For any admissible k-tuple  $(h_1, ..., h_k)$  with k "sufficiently large," there are infinitely many n such that at least m of  $n + h_1, ..., n + h_k$  are prime.



#### The power of crowdsourcing...

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#### Theorem (D. H. J. Polymath, February 2014)

There are infinitely many pairs of primes that are at most 246 apart.



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# A polynomial analogue



# The "building blocks" of polynomials



Recall: primes are the "building blocks" of integers.

What are the "building blocks" of polynomials?

Figure: "D.N.A., the building blocks of life." -Jurassic Park

A polynomial

analogue



# The "building blocks" of polynomials

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Figure: "D.N.A., the building blocks of life." -Jurassic Park Recall: primes are the "building blocks" of integers.

What are the "building blocks" of polynomials?

Polynomials that cannot be factored any further. These are called *irreducible polynomials*.



# The "building blocks" of polynomials



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Figure: "D.N.A., the building blocks of life." -Jurassic Park Recall: primes are the "building blocks" of integers.

What are the "building blocks" of polynomials?

Polynomials that cannot be factored any further. These are called *irreducible polynomials*.

#### Example

$$x^{3} - 1 = (x - 1)(x^{2} + x + 1).$$



## Polynomial arithmetic

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A polynomial analogue Just like with integers, we can reduce polynomials (mod p). Example:

$$4x^2 + 5x + 1 \equiv \pmod{3}.$$
$$\equiv \pmod{3}$$

**Notation:**  $\mathbb{Z}_p$  is the set of integers (mod p).  $\mathbb{Z}_p[x]$  is the set of polynomials (mod p).



## Polynomial arithmetic

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$$4x^2 + 5x + 1 \equiv x^2 + 2x + 1 \pmod{3}.$$
$$\equiv \pmod{3}$$

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## Polynomial arithmetic

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#### Example:

$$4x^{2} + 5x + 1 \equiv x^{2} + 2x + 1 \pmod{3}.$$
$$\equiv (x+1)^{2} \pmod{3}$$

**Notation:**  $\mathbb{Z}_p$  is the set of integers (mod p).  $\mathbb{Z}_p[x]$  is the set of polynomials (mod p).



## Twin prime polynomials: A tale of two dissertations

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Prime k-tuples

A polynomial analogue



#### Theorem (Hall, Ph.D. 2006; Pollack, Ph.D. 2008)

If  $p \ge 3$ , then any  $a \in \mathbb{Z}_p$  (excluding a = 0) occurs infinitely often as a gap between irreducible polynomials.

(p > 3 due to Hall; p = 3 due to Pollack)



## An improvement on Hall and Pollack's work

Twin primes and their kin

Lola Thompson

A pattern in the primes?

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Prime k-tuples

A polynomial analogue

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#### Theorem (Castillo, Hall, Lemke Oliver, Pollack, T., 2014)

Let  $m \geq 2$ . For any admissible k-tuple  $(h_1, ..., h_k)$  of polynomials in  $\mathbb{Z}_p[x]$  with k "sufficiently large," there are infinitely many  $f \in \mathbb{Z}_p[x]$  such that at least m of  $f + h_1, ..., f + h_k$  are irreducible.



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# Thank you!