Twin primes and their kin

## Lola

Thompson

A pattern in the primes?

## Distribution

of primes
Twin primes
Sieves in number theory

Prime
$k$-tuples
A polynomial analogue

## Twin primes and their kin

Lola Thompson

Oberlin College

March 31, 2017

## The "building blocks" of integers

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Figure: "D.N.A., the building blocks of life." Jurassic Park

## Definition

A positive integer is prime if it is only divisible by 1 and itself.

## Why do we care about primes?

Primes are the "building blocks" of integers: every positive integer (except 1 ) can be written uniquely as a product of primes.

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Distribution of primes

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Sieves in number
theory

## Prime

$k$-tuples
A polynomial analogue

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 |
| 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 |
| 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 |
| 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 |
| 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 |
| 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 |
| 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |

Table: primes $p \leq 100$

## A pattern in the primes?

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One often hears that the primes are randomly distributed, or seem to have no pattern.

$4 / 60$

## Cautionary tale \#1

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In 1650, Fermat famously conjectured that all numbers of the form $2^{2^{n}}+1$ (where $n=0,1,2,3,4, \ldots$ ) are prime.

## Cautionary tale \#1

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In 1650, Fermat famously conjectured that all numbers of the form $2^{2^{n}}+1$ (where $n=0,1,2,3,4, \ldots$ ) are prime.

In 1732, Euler showed that Fermat's conjecture fails when $n=5$.

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As of 2010, it is known that $2^{2^{n}}+1$ is composite for $5 \leq n \leq 32$.

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In 1650, Fermat famously conjectured that all numbers of the form $2^{2^{n}}+1$ (where $n=0,1,2,3,4, \ldots$ ) are prime.

In 1732, Euler showed that Fermat's conjecture fails when $n=5$.

As of 2010, it is known that $2^{2^{n}}+1$ is composite for $5 \leq n \leq 32$.

Some mathematicians have even conjectured that $2^{2^{n}}+1$ is composite for all $n \geq 5$ !

## Cautionary tale \#2

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From Wikipedia (now deleted): Sollog is "an American numerologist, mystic, and self-proclaimed psychic. He is also a self-published author and a self-described artist, musician, poet, and filmmaker."

## Cautionary tale \#2

Twin primes and their kin

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(This is his mugshot from a Florida police station.)

## Why it is important to ask the right questions...

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Thompson the primes?

Distribution of primes

Twin primes
Sieves in number
theory
Prime
$k$-tuples
A polynomial analogue

One of Sollog's mathematical "discoveries":

| 1 | $\mathbf{2}$ |
| :---: | :---: |
| $\mathbf{3}$ | 4 |
| $\mathbf{5}$ | 6 |
| $\mathbf{7}$ | 8 |
| 9 | 10 |
| $\mathbf{1 1}$ | 12 |
| $\mathbf{1 3}$ | 14 |
| 15 | 16 |
| $\mathbf{1 7}$ | 18 |

$11 / 60$

## Why it is important to ask the right questions...

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A polynomial analogue

Another mathematical "discovery" of Sollog:

| 1 | $\mathbf{2}$ | $\mathbf{3}$ | 4 | $\mathbf{5}$ | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{7}$ | 8 | 9 | 10 | $\mathbf{1 1}$ | 12 |
| $\mathbf{1 3}$ | 14 | 15 | 16 | $\mathbf{1 7}$ | 18 |
| $\mathbf{1 9}$ | 20 | 21 | 22 | $\mathbf{2 3}$ | 24 |
| $\mathbf{2 5}$ | 26 | 27 | 28 | $\mathbf{2 9}$ | 30 |
| $\mathbf{3 1}$ | 32 | 33 | 34 | 35 | 36 |
| $\mathbf{3 7}$ | 38 | 39 | 40 | $\mathbf{4 1}$ | 42 |
| $\mathbf{4 3}$ | 44 | 45 | 46 | $\mathbf{4 7}$ | 48 |
| 49 | 50 | 51 | 52 | $\mathbf{5 3}$ | 54 |

12 / 60

## Sollog does not take criticism well...

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My co-author, Paul Pollack, tried to help Sollog. Here was Sollog's response:

Hey MORON, This chart is a visual aid to show WHERE ALL PRIMES... MUST FALL, which means they follow a [expletive deleted] PREDICTABLE PATTERN
Since youre a MORON, you cant comprehend what I posted...
If you think that is no big deal, reread your math book, it will state either Primes are random or there is no known pattern.

## What is wrong with Sollog's "pattern"?

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| 1 | $\mathbf{2}$ |
| :---: | :---: |
| $\mathbf{3}$ | 4 |
| $\mathbf{5}$ | 6 |
| $\mathbf{7}$ | 8 |
| 9 | 10 |
| $\mathbf{1 1}$ | 12 |
| $\mathbf{1 3}$ | 14 |
| 15 | 16 |
| $\mathbf{1 7}$ | 18 |

Table: Pattern: (almost) all primes are odd!

## What is wrong with Sollog's "pattern"?

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| 1 | $\mathbf{2}$ |
| :---: | :---: |
| $\mathbf{3}$ | 4 |
| $\mathbf{5}$ | 6 |
| $\mathbf{7}$ | 8 |
| 9 | 10 |
| $\mathbf{1 1}$ | 12 |
| $\mathbf{1 3}$ | 14 |
| $\mathbf{1 5}$ | 16 |
| $\mathbf{1 7}$ | 18 |

Table: Pattern: (almost) all primes are odd!
(This is not a deep fact...)

## What is wrong with Sollog's "pattern"?

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| 1 | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{7}$ | 8 | 9 | 10 | $\mathbf{1 1}$ | 12 |
| $\mathbf{1 3}$ | 14 | 15 | 16 | $\mathbf{1 7}$ | 18 |
| $\mathbf{1 9}$ | 20 | 21 | 22 | $\mathbf{2 3}$ | 24 |
| $\mathbf{2 5}$ | 26 | 27 | 28 | $\mathbf{2 9}$ | 30 |
| $\mathbf{3 1}$ | 32 | 33 | 34 | 35 | 36 |
| $\mathbf{3 7}$ | 38 | 39 | 40 | $\mathbf{4 1}$ | 42 |
| $\mathbf{4 3}$ | 44 | $\mathbf{4 5}$ | 46 | $\mathbf{4 7}$ | 48 |
| 49 | 50 | 51 | 52 | $\mathbf{5 3}$ | 54 |

Table: Pattern: Only two of the six columns contain primes

## What is wrong with Sollog's "pattern"?

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Distribution of primes

Twin primes
Sieves in number theory

## Prime

$k$-tuples
A polynomial analogue

| 1 | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{7}$ | 8 | 9 | 10 | $\mathbf{1 1}$ | 12 |
| $\mathbf{1 3}$ | 14 | 15 | 16 | $\mathbf{1 7}$ | 18 |
| $\mathbf{1 9}$ | 20 | 21 | 22 | $\mathbf{2 3}$ | 24 |
| $\mathbf{2 5}$ | 26 | 27 | 28 | $\mathbf{2 9}$ | 30 |
| $\mathbf{3 1}$ | 32 | 33 | 34 | 35 | 36 |
| $\mathbf{3 7}$ | 38 | 39 | 40 | $\mathbf{4 1}$ | 42 |
| $\mathbf{4 3}$ | 44 | $\mathbf{4 5}$ | 46 | $\mathbf{4 7}$ | 48 |
| 49 | 50 | 51 | 52 | $\mathbf{5 3}$ | 54 |

Table: Pattern: Only two of the six columns contain primes
Notice:
$6 n+2=2(3 n+1), 6 n+3=3(2 n+1), 6 n+4=2(3 n+2)$
17 / 60 and $6 n+6=6(n+1)$.

## Sollog's "theorem"

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Theorem (Sollog's theorem restated)
If $n \geq 5$ is prime, then $n$ is not a multiple of 2 or 3 .

## Sollog's "theorem"

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Theorem (Sollog's theorem restated)
If $n \geq 5$ is prime, then $n$ is not a multiple of 2 or 3 .

Conclusion: It's important to be a bit skeptical when looking for patterns in the primes.

## Distribution of primes

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# Distribution of primes 

## How many primes are there?

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## Theorem

There are infinitely many primes.

Proof Sketch: It suffices to show that

$$
\frac{1}{2}+\frac{1}{3}+\frac{1}{5}+\frac{1}{7}+\cdots=\infty
$$

The proof uses the Taylor series expansion for $\ln (x)$ as well as the sum of a geometric series!

## What proportion of numbers are prime?

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## Prime

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A polynomial analogue

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 |
| 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 |
| 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 |
| 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 |
| 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 |
| 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 |
| 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |

Table: primes $p \leq 100$

## What proportion of numbers are prime?

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## Prime

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## Prime number theorem

(illustrated by selected values $n$ from $10^{2}$ to $10^{14}$ )

| $n$ | $\pi(n)=\begin{aligned} & \text { number of primes less } \\ & \text { than or equal to } n \end{aligned}$ | $\frac{\pi(n)}{n}$ | proportion of primes $=$ among the first $n$ numbers | $\frac{1}{\log n}=$ | predicted proportion <br> of primes among the first $n$ numbers |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $10^{2}$ | 25 |  | 0.2500 |  | 0.2172 |
| $10^{4}$ | 1,229 |  | 0.1229 |  | 0.1086 |
| $10^{\circ}$ | 78,498 |  | 0.0785 |  | 0.0724 |
| $10^{8}$ | 5,761,455 |  | 0.0570 |  | 0.0543 |
| $10^{10}$ | 455,052,511 |  | 0.0455 |  | 0.0434 |
| $10^{12}$ | 37,607,912,018 |  | 0.0377 |  | 0.0362 |
| 1014 | 3,204,941,750,802 |  | 0.0320 |  | 0.0310 |

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## Theorem (Hadamard \& de la Valée Poussin, 1896)

Let $\pi(X)=\#$ of primes in $[1, X]$. Then, we have

$$
\lim _{X \rightarrow \infty} \frac{\pi(X)}{X / \log X}=1
$$

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Twin primes
and their kin
```


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```

Twin primes

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## A legitimate pattern?

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| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 |
| 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 |
| 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 |
| 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 |
| 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 |
| 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 |
| 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |

Table: twin primes $p \leq 100$

## Twin primes

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## Definition

A pair of primes $(p, p+2)$ is called a twin prime pair.

## Examples:

$(3,5)$
$(5,7)$
$(11,13)$
$\left(3756801695685 \cdot 2^{666,669}-1,3756801695685 \cdot 2^{666,669}+1\right)$

This begs the question: How many twin prime pairs are there?

## Gaps between primes: a brief history

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## Conjecture (de Polignac, 1849)

For even integers $h$, there are infinitely many pairs of (consecutive) primes $p, p+h$.

If $h=2$ then this is the twin primes conjecture. We can study other values of $h$ as well!

## A close call

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In 2003, Dan Goldston and Cem Yıldırım announced a proof that there are infinitely many pairs of primes that differ by at most 12 .

## A close call

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In 2003, Dan Goldston and Cem Yıldırım announced a proof that there are infinitely many pairs of primes that differ by at most 12. Unfortunately, their work was quickly discredited by Granville and Soundararajan, who found a fatal flaw.

## A conditional proof of the bounded gaps theorem

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## G



Theorem (Goldston, Pintz and Yıldırım, 2005)
If [Big Unsolved Conjecture] is true, then there are infinitely many pairs of primes that differ by at most 16.

## Bounded gaps between primes (at last!)

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## Theorem (Zhang, May 2013)

There are infinitely many pairs of primes that are at most 70, 000, 000 apart.

## An unlikely superhero

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- Zhang was unable to secure an academic position after earning his Ph.D.
- Instead, he spent 5 years doing odd jobs ("sandwich artist" at Subway, motel employee in Kentucky, delivery worker in a New York City restaurant) before taking an adjunct position at the University of New Hampshire.
- Zhang had only written two other papers during his mathematical career.
- Zhang was in his late 50 's when he made his groundbreaking discovery.


## Key idea: sieve methods

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In number theory, a technique called "sieving" is used to filter numbers that possess certain properties out of a larger list of numbers.

## The sieve of Eratosthenes

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Distribution of primes

Twin primes
Sieves in number theory

## Prime

$k$-tuples
A polynomial analogue

|  | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 |
| 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 |
| 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 |
| 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 |
| 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 |
| 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 |
| 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 |
| 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |
| 101 | 102 | 103 | 104 | 105 | 106 | 107 | 108 | 109 | 110 |
| 111 | 112 | 113 | 114 | 115 | 116 | 117 | 118 | 119 | 120 |

Prime numbers
?

## Another sieve: inclusion-exclusion

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$$
\begin{aligned}
\#(A \cup B \cup C) & =\# A+\# B+\# C \\
& -\#(A \cap B)-\#(B \cap C)-\#(C \cap A) \\
& +\#(A \cap B \cap C)
\end{aligned}
$$

## An inclusion-exclusion example

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$$
A=\{n \in \mathbb{Z}: 2 \mid n\}, B=\{n \in \mathbb{Z}: 3 \mid n\}, C=\{n \in \mathbb{Z}: 5 \mid n\} .
$$

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Q: How many integers in $[1,100]$ are not divisible by 2,3 or 5 ?

$$
\begin{gathered}
\# A=\lfloor 100 / 2\rfloor=50 \\
\# B=\lfloor 100 / 3\rfloor=33 \\
\# C=\lfloor 100 / 5\rfloor=20 \\
\#(A \cap B)=\lfloor 100 / 6\rfloor=16 \\
\#(B \cap C)=\lfloor 100 / 15\rfloor=6 \\
\#(C \cap A)=\lfloor 100 / 10\rfloor=10 \\
\#(A \cap B \cap C)=\lfloor 100 / 30\rfloor=3
\end{gathered}
$$

A: $100-(50+33+20-16-6-10+3)=26$.

## An application of inclusion-exclusion

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## Theorem

The number of twin prime pairs $(p, p+2)$ with $p \leq X$ is bounded above by

$$
100 X /(\log X)^{2}
$$

for large $X$.

## An application of inclusion-exclusion

Twin primes and their kin

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## Theorem

The number of twin prime pairs $(p, p+2)$ with $p \leq X$ is bounded above by

$$
100 X /(\log X)^{2}
$$

for large $X$.

Consequence:

$$
B:=\left(\frac{1}{3}+\frac{1}{5}\right)+\left(\frac{1}{5}+\frac{1}{7}\right)+\left(\frac{1}{11}+\frac{1}{13}\right)+\cdots<\infty .
$$

## An application of inclusion-exclusion

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Consequence:

$$
B:=\left(\frac{1}{3}+\frac{1}{5}\right)+\left(\frac{1}{5}+\frac{1}{7}\right)+\left(\frac{1}{11}+\frac{1}{13}\right)+\cdots<\infty .
$$

Contrast this with our proof that there are infinitely many primes:

$$
\frac{1}{2}+\frac{1}{3}+\frac{1}{5}+\frac{1}{7}+\cdots=\infty
$$

## The GPY sieve

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Goldston, Pintz and Yildirim developed a new sieve that is now referred to as the "GPY sieve." It detects lists of numbers that are plausible candidates for having prime pairs in them.

It gets rid of most numbers, keeping only those that are:
(1) Likely to be prime

## The GPY sieve

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Goldston, Pintz and Yildirim developed a new sieve that is now referred to as the "GPY sieve." It detects lists of numbers that are plausible candidates for having prime pairs in them.

It gets rid of most numbers, keeping only those that are:
(1) Likely to be prime
(2) Closer together than average.

## The only hideous technical slide (I promise!)

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Here is how the GPY sieve actually works:

$$
S(N):=\sum_{\substack{N \leq n<2 N \\ n \equiv \nu}}\left(\sum_{i=1}^{k} \chi_{\mathbb{P}}\left(n+h_{i}\right)\right) w(n),
$$

where $\chi_{\mathbb{P}}(n)$ is the characteristic function of the primes and the $w(n)$ 's are nonnegative weights.

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# Prime $k$-tuples 

## "Clock" arithmetic

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A polynomial analogue
$11 \quad 0 \quad 1$ $10 \quad 2$
$9 \quad 3$
8
4

7
5

## Definition <br> We say that $a \equiv b(\bmod m)$ if $a$ and $b$ have the same remainder when divided by $m$.

Example: Telling time on an analog clock:

## Admissible sets

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## Definition

We say that a $k$-tuple $\left(h_{1}, \ldots, h_{k}\right)$ of nonnegative integers is admissible if it doesn't cover all of the possible remainders $(\bmod p)$ for any prime $p$.

Example: $(0,2,6,8,12)$ is an admissible 5 -tuple.
Remainders not covered:
$1(\bmod 2)$
$1(\bmod 3)$
$4(\bmod 5)$
$3(\bmod 7)$
$3(\bmod 11)$

## Prime $k$-tuples

Twin primes and their kin

## Lola

Thompson

A pattern in the primes?

Distribution of primes

Twin primes
Sieves in number theory

## Prime

$k$-tuples
A polynomial analogue

## Conjecture (Prime $k$-tuples)

Let $\mathcal{H}=\left(h_{1}, \ldots, h_{k}\right)$ be admissible. Then there are infinitely many integers $n$ such that all of $n+h_{1}, \ldots, n+h_{k}$ are prime.

Q: Why do we need to specify that $\mathcal{H}$ is an admissible set?

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Q: Why do we need to specify that $\mathcal{H}$ is an admissible set?

A: If $\mathcal{H}$ "covers" all residues modulo $p$ then one of $n+h_{1}, \ldots, n+h_{k}$ must be divisible by $p$ !

## Maynard and Tao's independent work

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## Theorem (Maynard-Tao, November 2013)

Let $m \geq 2$. For any admissible $k$-tuple $\left(h_{1}, \ldots, h_{k}\right)$ with $k$ "sufficiently large," there are infinitely many $n$ such that at least $m$ of $n+h_{1}, \ldots, n+h_{k}$ are prime.

## The power of crowdsourcing...

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## Theorem (D. H. J. Polymath, February 2014)

There are infinitely many pairs of primes that are at most 246 apart.

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# A polynomial analogue 

## The "building blocks" of polynomials

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Recall: primes are the "building blocks" of integers.

What are the "building blocks" of polynomials?

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Recall: primes are the "building blocks" of integers.

What are the "building blocks" of polynomials?

Polynomials that cannot be factored any further. These are called irreducible polynomials. building blocks of life." Jurassic Park

## The "building blocks" of polynomials

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Figure: "D.N.A., the building blocks of life." Jurassic Park

Recall: primes are the "building blocks" of integers.

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## Example

$x^{3}-1=(x-1)\left(x^{2}+x+1\right)$.

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Just like with integers, we can reduce polynomials $(\bmod p)$.

## Example:

$$
\begin{aligned}
4 x^{2}+5 x+1 & \equiv \quad(\bmod \\
& \equiv \quad(\bmod 3)
\end{aligned}
$$

Notation: $\mathbb{Z}_{p}$ is the set of integers $(\bmod p)$. $\mathbb{Z}_{p}[x]$ is the set of polynomials $(\bmod p)$.

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## Example:

$$
\begin{aligned}
4 x^{2}+5 x+1 & \equiv x^{2}+2 x+1 \quad(\bmod 3) \\
& \equiv(x+1)^{2} \quad(\bmod 3)
\end{aligned}
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## Twin prime polynomials: A tale of two dissertations

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## Theorem (Hall, Ph.D. 2006; Pollack, Ph.D. 2008)

If $p \geq 3$, then any $a \in \mathbb{Z}_{p}$ (excluding $a=0$ ) occurs infinitely often as a gap between irreducible polynomials.
( $p>3$ due to Hall; $p=3$ due to Pollack)

## An improvement on Hall and Pollack's work

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## Theorem (Castillo, Hall, Lemke Oliver, Pollack, T., 2014)

Let $m \geq 2$. For any admissible $k$-tuple ( $h_{1}, \ldots, h_{k}$ ) of polynomials in $\mathbb{Z}_{p}[x]$ with $k$ "sufficiently large," there are infinitely many $f \in \mathbb{Z}_{p}[x]$ such that at least $m$ of $f+h_{1}, \ldots, f+h_{k}$ are irreducible.

## remme and their kin <br> Lola <br> Thompson <br> A pattern in the primes? <br> Distribution of primes <br> Twin primes <br> Sieves in <br> Thank you!

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