

Bertrand's Postulate

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Ross Program

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Bertrand's Postulate



"I've said it once and I'll say it again: There's always a prime between n and $2n$."

-Joseph Bertrand, conjectured in 1845

Bertrand's Postulate

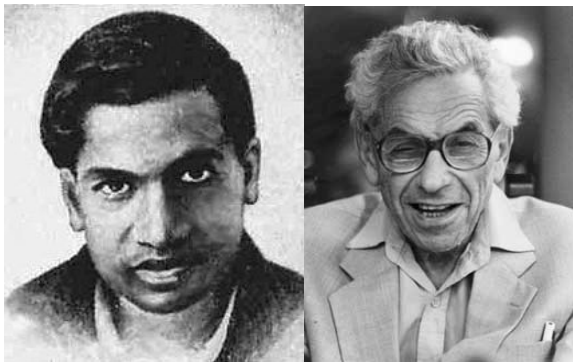
Bertrand did not prove his postulate. He verified the statement (by hand) for all positive integers n up to 6,000,000.

In 1850, Chebyshev proved Bertrand's Postulate. For this reason, it is also referred to as "Chebyshev's Theorem."



Bertrand's Postulate

Many other proofs have been found in the time since Chebyshev first proved this theorem. We will follow a proof due to Ramanujan and Erdős.



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- 2 A Few New Arithmetic Functions
- 3 An Upper Bound for $\psi(x)$
- 4 Proving Bertrand's Postulate
 - The Setup: $ABC = \binom{2n}{n}$
 - A Lower Bound for $\binom{2n}{n}$
 - An Upper Bound for C
 - An Upper Bound for B
 - Putting Everything Together
- 5 Generalizations
- 6 Some Neat Applications

Fun With Binomial Coefficients

Definition

$n!$ = # of ways of ordering n elements

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$\binom{n}{k}$ = # of ways of choosing k elements from a set containing n objects, without worrying about order.

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- The elements in the 4th row are precisely $\binom{4}{0}$ through $\binom{4}{4}$.

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- For example, $1 + 2 + 1 = 2^2$ and $1 + 3 + 3 + 1 = 2^3$.
- **Exercise:** $\sum_{k=0}^n \binom{n}{k} = 2^n$.

Fun With Binomial Coefficients

- One particular type of binomial coefficient will be of interest to us as we prove Bertrand's Postulate. That coefficient is $\binom{2n}{n}$, the coefficient in the center of the $2n^{\text{th}}$ row of Pascal's Triangle.

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- Which primes divide $\binom{2n}{n}$? Let's look at some examples.
- $\binom{4}{2} = 6$. Which primes divide 6? What about $\binom{6}{3}$? $\binom{8}{4}$? $\binom{10}{5}$?
Any conjectures about when a prime has to divide $\binom{2n}{n}$?

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Any conjectures about when a prime has to divide $\binom{2n}{n}$?
- **Exercise:** $p \mid \binom{2n}{n}$ if there exists a positive integer j with $n < p^j \leq 2n$

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Assuming that those exercises are true, we can prove two results:

Lemma (1)

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Proof Look at the $2n^{\text{th}}$ row of Pascal's Triangle: $\binom{2n}{n}$ is in the center, $2^{2n} = \text{sum of all terms in the row.}$

A Few New Arithmetic Functions

We're already familiar with σ , τ and φ . Let's define some new arithmetic functions:

Definition

$$\Lambda(n) = \begin{cases} \log p, & n = p^k, k > 0 \\ 0, & \text{otherwise} \end{cases}$$

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Example:

$$\psi(6) = 0 + \log 2 + \log 3 + \log 2 + \log 5 + 0 = \log(2^2 \cdot 3 \cdot 5) = \log(60).$$

An Upper Bound for $\psi(x)$

Lemma

$$\psi(x) \leq x \log 4$$

For now, let's pretend that $x \in \mathbb{Z}$. This will allow us to use the Well-Ordering Principle.

Base Case: If $n = 1$, then $\psi(1) = \sum_{n \leq 1} \Lambda(n) = \Lambda(1) = 0$.

(This is certainly $\leq 1 \cdot \log 4$)

Proof of Upper Bound for $\psi(x)$

Let $S = \{x \in \mathbb{Z}^+ \mid \psi(x) > x \log 4\}$. Assume that S is non-empty. Then, by Well-Ordering Principle, S has a least element. Call it l .

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- $= \sum_{k < n \leq 2k} \Lambda(n)$ (canceling terms)
- $\leq \log \binom{2k}{k}$ (since $\Lambda(n) = p$ if $n = p^j$ and 0 otherwise, so this line follows from Lemma (1) after taking log of both sides)

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- So $\psi(2k) \leq \psi(k) + k \log 4$
- $\leq k \log 4 + k \log 4$ (since $l = 2k$ was the smallest element in S , so $k \notin S \Rightarrow \psi(k) \leq k \log 4$)

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so $k \notin S \Rightarrow \psi(k) \leq k \log 4$)
- $\therefore \psi(2k) \leq 2k \log 4$, ie. l cannot be even.

Proof of Upper Bound for $\psi(x)$

Case 2: $l = 2k + 1$.

The argument for the case where l is odd is similar to the case where l is even. The result is the same, i.e. it shows that l cannot be odd.

Since the least element of S is neither even nor odd then S must empty.

- Remark: The inequality $\psi(x) \leq x \log 4$ holds for ALL $x \geq 1$ (not just integers). Why?

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- $\psi(x) = \psi(\lfloor x \rfloor) \leq \lfloor x \rfloor \log 4 \leq x \log 4$.

Proving Bertrand's Postulate

We will use what we have learned about $\binom{2n}{n}$ and $\psi(x)$ in order to prove our main result:

Theorem (Bertrand's Postulate)

For every $n \in \mathbb{Z}^+$, there exists a prime p such that $n < p \leq 2n$.

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- Let $B =$ contribution to $\binom{2n}{n}$ from primes $p \in (\sqrt{2n}, n]$.
Let $C =$ contribution to $\binom{2n}{n}$ from primes $p \leq \sqrt{2n}$.

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- Let $B =$ contribution to $\binom{2n}{n}$ from primes $p \in (\sqrt{2n}, n]$.
Let $C =$ contribution to $\binom{2n}{n}$ from primes $p \leq \sqrt{2n}$.
- Then $ABC = \binom{2n}{n}$.

The Setup : $ABC = \binom{2n}{n}$

Goal: We want to show that $BC < \binom{2n}{n}$.

Why does this imply that Bertrand's Postulate holds?

- If $BC < \binom{2n}{n}$ then $A \neq 1$.

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- In other words, there must be a prime between n and $2n$ dividing $\binom{2n}{n}$.

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- But $A = \prod_{n < p \leq 2n} p$, so there must be a prime dividing A .
- In other words, there must be a prime between n and $2n$ dividing $\binom{2n}{n}$.
- This proves Bertrand's Postulate because it proves the existence of a prime between n and $2n$.

The Setup : $ABC = \binom{2n}{n}$

In order to show that $BC < \binom{2n}{n}$, we will find upper bounds for B and C and we will find a lower bound for $\binom{2n}{n}$.

A Lower Bound for $\binom{2n}{n}$

Lemma

$$\binom{2n}{n} \geq \frac{4^n}{2n}$$

Proof

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$$\sum_{j=0}^{2n} \binom{2n}{j} = 2 + \sum_{j=1}^{2n-1} \binom{2n}{j}.$$

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- We know that the middle term, $\binom{2n}{n}$, is the largest term in the sum.
- Thus, $2 + \sum_{j=1}^{2n-1} \binom{2n}{j} \leq (2n)\binom{2n}{n}$ since we are summing $2n$ terms.

Another Pair of Useful Exercises

The following exercises will also be useful in bounding BC :

Exercise Prove or disprove and salvage if possible: If $x \in \mathbb{R}$, then $\lfloor 2x \rfloor - 2\lfloor x \rfloor = 0$ or 1 .

Exercise (a) What power of the prime p appears in the prime factorization of $n!$?
(b) What power of p appears in the factorization of $\binom{n}{k}$?

An Upper Bound for C

Lemma

$$C \leq (2n)^{\sqrt{2n}-1}$$

Proof

- Let k be the highest power of p dividing $\binom{2n}{n}$. Have you solved both of the exercises on the previous slide? Once you have, you will see that $k \leq \sum_{j:p^j \leq 2n} 1$.

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- The sum on the right counts the number of j that satisfy $p^j \leq 2n$.
- In order to determine that number, we need to solve the equation $p^x \leq 2n$. But this is the same as solving $x \log p \leq \log 2n$.

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- The sum on the right counts the number of j that satisfy $p^j \leq 2n$.
- In order to determine that number, we need to solve the equation $p^x \leq 2n$. But this is the same as solving $x \log p \leq \log 2n$.
- Thus, $x \leq \frac{\log 2n}{\log p}$.

An Upper Bound for C

Since the j that satisfy $p^j \leq 2n$ must be integers,

$$\text{then } \sum_{j: p^j \leq 2n} 1 = \lfloor \frac{\log 2n}{\log p} \rfloor.$$

$$\text{Recall that } C = \prod_{\substack{p \leq \sqrt{2n} \\ p | \binom{2n}{n}}} p.$$

Suppose that a prime p is not included in C , i.e. $p > \sqrt{2n}$ and $p \leq 2n$.

$$\text{Then } \lfloor \frac{\log 2n}{\log p} \rfloor = 1.$$

So all of the primes p such that $p^k | \binom{2n}{n}$ for $k > 1$ must occur in C .

An Upper Bound for C

Recalling that $C = \prod_{\substack{p \leq \sqrt{2n} \\ p | \binom{2n}{n}}} p$, we have

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$$\bullet = \prod_{p \leq \sqrt{2n}} 2n$$

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- Thus, we see that $C \leq (2n)^{\sqrt{2n}-1}$.

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$$B \leq 4 \frac{2}{3}^n$$

Proof

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- Recall that $B = \prod_{\substack{\sqrt{2n} < p \leq n \\ p | \binom{2n}{n}}} p$.
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(square both sides, then divide both sides by n)

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- For all $n > 4.5$, $\sqrt{2n} < \frac{2}{3}n$
(square both sides, then divide both sides by n)
- We will separate B into two products: $\prod_{\sqrt{2n} < p \leq \frac{2}{3}n} p$ and $\prod_{\frac{2}{3}n < p \leq n} p$.

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- Since $p \in (\frac{2}{3}n, n]$, we have $1 \leq \frac{n}{p} < \frac{3}{2}$.
Thus $\frac{n}{p} = 1 + r$, with $0 \leq r < \frac{1}{2}$.

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- We will show that $k = 0$ when p is in this range, where k is the highest power of p dividing $\binom{2n}{n}$.
- Since $p \in (\frac{2}{3}n, n]$, we have $1 \leq \frac{n}{p} < \frac{3}{2}$.
Thus $\frac{n}{p} = 1 + r$, with $0 \leq r < \frac{1}{2}$.
- Using this fact with the formula for k that you found in the problem set yields $k = 0$. So, the product $\prod_{\frac{2}{3}n < p \leq n} p$ doesn't contribute any primes to B .

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- $\therefore B = \prod_{\substack{\sqrt{2n} < p \leq n \\ p | \binom{2n}{n}}} p \leq \prod_{p \leq \frac{2}{3}n} p \leq 4^{\frac{2}{3}n}$ (since $\psi(x) \leq x \log 4$).

Putting Everything Together

What we have shown:

$$\binom{2n}{n} = ABC$$

$$\binom{2n}{n} \geq \frac{4^n}{2n}$$

$$C \leq (2n)^{\sqrt{2n}-1}$$

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- So, $A = \binom{2n}{n} / (BC)$
- $\geq \frac{\frac{4^n}{2n}}{(2n)^{\sqrt{2n}-1} \cdot 4^{\frac{2}{3}n}}$
- $= \frac{4^{\frac{1}{3}n}}{(2n)^{\sqrt{2n}}}$

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- Remember that in order for Bertrand's Postulate to hold, we need to show that $A > 1$. Thus, we need to determine when $4^{\frac{1}{3}n} > (2n)^{\sqrt{2n}}$.

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- Using high school math, we can show that $4^{\frac{1}{3}n} > (2n)^{\sqrt{2n}}$ holds when $n > 450$.
- \therefore Bertrand's Postulate holds for all $n > 450$.

Finishing Up

In order to conclude that Bertrand's Postulate is true for all $n \in \mathbb{Z}^+$, we just need to check values of $n \leq 450$.

Remember that Bertrand checked all n up to 6,000,000, so if you believe him then we're done!

If not, consider the list of primes 2, 3, 5, 7, 13, 23, 43, 83, 163, 317, 631, where each is less than twice the preceding. This proves Bertrand's Postulate for all $n < 631$, since any such n can be squeezed between two numbers on the list.

Generalizations

One way that a mathematician finds new problems to solve is by looking at a result that has already been proven and asking “Does this hold in a more general setting?”

Of course, “more general” can mean many different things. For example, we showed that $\binom{2n}{n} \leq 4^n$ for all $n \geq 0$. Perhaps we could have shown a similar result for *any* integer n . Another generalization would be to try to bound $\binom{kn}{n}$, $k \in \mathbb{Z}^+$.

Can you think of a “more general” statement of Bertrand’s Postulate?

Generalizations

Here are a few well-known generalizations of Bertrand's Postulate:

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Conjecture (Legendre) For every $n > 1$, there is a prime p such that $n^2 < p < (n + 1)^2$.

Some Neat Applications

Using Bertrand's Postulate, we can also prove many other interesting results, including:

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Using Bertrand's Postulate, we can also prove many other interesting results, including:

- Every integer $n > 6$ can be written as a sum of distinct primes.
- $\forall N \in \mathbb{N}$, there exists an even integer $k > 0$ for which there are at least N prime pairs $p, p + k$.